

1) Applications of nondegenerate perturbation theory: Anharmonic oscillators

i) Consider a perturbation of the simple harmonic oscillator,

$$H = H_0 + \lambda H_1, \quad H_0 = \frac{p_x^2}{2m} + \frac{m\omega^2 x^2}{2}$$
$$H_1 = b x^3$$

What is the lowest order correction to the ground-state energy?

$$E_0 = \frac{\hbar\omega}{2} + \lambda E_0^{(1)} + \lambda^2 E_0^{(2)} + \dots$$

$$E_0^{(1)} = \langle 0 | b x^3 | 0 \rangle = 0 \quad (\text{why?})$$

$$E_0^{(2)} = \sum_{n>0} \frac{|\langle n | b x^3 | 0 \rangle|^2}{E_0^{(0)} - E_n^{(0)}} \quad (2)$$

$$E_n^{(0)} = \hbar\omega(n + 1/2)$$

$$E_0^{(2)} = \frac{b^2}{\hbar\omega} \sum_{n>0} \frac{|\langle n | x^3 | 0 \rangle|^2}{-n}$$

Now  $x = \sqrt{\frac{\hbar}{2m\omega}} (a + a^\dagger)$

and  $a |n\rangle = \sqrt{n} |n-1\rangle$

$$a^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle.$$

$$x^3 = \left(\frac{\hbar}{2m\omega}\right)^{3/2} (a + a^\dagger)^3$$

$$(a + a^\dagger)^3 = a^3 + a^2 a^\dagger + a a^\dagger a + a^\dagger a^2 + a (a^\dagger)^2 + a^\dagger a a^\dagger + (a^\dagger)^2 a + (a^\dagger)^3$$

$$\begin{aligned}
 (a+a^\dagger)^3 |0\rangle &= [a(a^\dagger)^2 + a^\dagger a a^\dagger + (a^\dagger)^3] |0\rangle \quad \langle 3 \\
 &= \sqrt{1 \cdot 2 \cdot 2} |11\rangle + |11\rangle + \sqrt{1 \cdot 2 \cdot 3} |3\rangle \\
 &= 3 |11\rangle + \sqrt{6} |3\rangle
 \end{aligned}$$

$$\langle 11 | (a+a^\dagger)^3 |0\rangle = 3$$

$$\langle 3 | (a+a^\dagger)^3 |0\rangle = \sqrt{6}$$

All other matrix elements vanish.

$$E_0^{(2)} = \frac{b^2}{\hbar\omega} \left( \frac{\hbar}{2m\omega} \right)^{3/2} \left[ -9 - \frac{6}{3} \right]$$

$$E_0^{(2)} = -\frac{11 b^2}{\hbar\omega} \left( \frac{\hbar}{2m\omega} \right)^{3/2}$$

Furthermore, the first-order correction to the ground state is (4)

$$|\psi_0^{(1)}\rangle = \frac{|1\rangle \langle 1| b x^3 |0\rangle}{E_0^{(0)} - E_1^{(0)}} + \frac{|3\rangle \langle 3| b x^3 |0\rangle}{E_0^{(0)} - E_3^{(0)}}$$

$$= -\frac{b}{\hbar\omega} \left(\frac{\hbar}{2m\omega}\right)^{3/2} \left( 3|1\rangle + \sqrt{\frac{2}{3}}|3\rangle \right)$$

$$|\psi_0\rangle \approx |\psi_0^{(0)}\rangle + \lambda |\psi_0^{(1)}\rangle$$

contains admixture of states  $|1\rangle$  and  $|3\rangle$ .

Setting  $\lambda=1$ , we have (5)

$$\begin{aligned}\psi_0(x) &= \langle x | \psi_0 \rangle \simeq \langle x | \psi_0^{(0)} \rangle + \langle x | \psi_0^{(1)} \rangle \\ &= \psi_0^{(0)}(x) + \psi_0^{(1)}(x)\end{aligned}$$

$$= \left(\frac{m\omega}{\pi\hbar}\right)^{1/4} e^{-\xi^2/2} \left[ 1 - \frac{b}{\hbar\omega} \left(\frac{\hbar}{2m\omega}\right)^{3/2} \left( \frac{\xi}{\sqrt{2}} + \frac{2\sqrt{2}}{3} \xi^3 \right) \right]$$

where  $\xi = \sqrt{\frac{m\omega}{\hbar}} x$ .

ii)  $H_1 = Cx^4$

What is the lowest order correction to the energy of the  $n$ th eigenstate?

$$\begin{aligned}\Delta E_n^{(1)} &= \langle n | H_1 | n \rangle \\ &= C \langle n | x^4 | n \rangle\end{aligned}$$

$$\Delta E_n^{(1)} = C \left( \frac{\hbar}{2m\omega} \right)^2 \langle n | (a + a^\dagger)^4 | n \rangle$$

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$$(a + a^\dagger)^4 = (a^2 + a^{\dagger 2} + a a^\dagger + a^\dagger a)^2$$

$$= (1 + a^2 + a^{\dagger 2} + 2a^\dagger a)^2$$

$$= 1 + a^2 (a^\dagger)^2 + (a^\dagger)^2 a^2$$

$$+ 4(a^\dagger a)^2 + 4a^\dagger a$$

+ off-diagonal terms

$$\Delta E_n^{(1)} = C \left( \frac{\hbar}{2m\omega} \right)^2 \left( 1 + 4n + 4n^2 + \sqrt{(n+2)^2(n+1)^2} + \sqrt{n^2(n-1)^2} \right)$$

$$\Delta E_n^{(1)} = \frac{3C}{4} \left( \frac{\hbar}{m\omega} \right)^2 (1 + 2n + 2n^2)$$

$$\Delta E_0^{(1)} = \frac{3C}{4} \left( \frac{\hbar}{m\omega} \right)^2$$

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$$\Delta E_1^{(1)} = \frac{15C}{4} \left( \frac{\hbar}{m\omega} \right)^2$$

$$\Delta E_2^{(1)} = \frac{39C}{4} \left( \frac{\hbar}{m\omega} \right)^2, \text{ etc.}$$

Does the sign of  $\Delta E_n^{(1)}$  seem reasonable? Does the rapid increase of  $\Delta E_n^{(1)}$  with  $n$  seem reasonable?

(iii) Perturbations of a two-state system

Consider two states  $|1\rangle \rightarrow |2\rangle$

with  $H_0|1\rangle = \epsilon_1|1\rangle$ ,  $H_0|2\rangle = \epsilon_2|2\rangle$ .

In matrix form,

$$H_0 = \begin{pmatrix} \epsilon_1 & 0 \\ 0 & \epsilon_2 \end{pmatrix}.$$

$$H_1 = \begin{pmatrix} \langle 1|H_1|1\rangle & \langle 1|H_1|2\rangle \\ \langle 2|H_1|1\rangle & \langle 2|H_1|2\rangle \end{pmatrix} \quad \boxed{8}$$

The first-order corrections to the eigenenergies are

$$E_1^{(1)} = \langle 1|H_1|1\rangle, \quad E_2^{(1)} = \langle 2|H_1|2\rangle.$$

The second-order corrections are

$$E_1^{(2)} = \frac{|\langle 2|H_1|1\rangle|^2}{\epsilon_1 - \epsilon_2}$$

$$E_2^{(2)} = \frac{|\langle 2|H_1|1\rangle|^2}{\epsilon_2 - \epsilon_1} = -E_1^{(2)}$$



Suppose  $\epsilon_1 < \epsilon_2$ . Then  $E_1$  9  
is pushed down in second order,  
while  $E_2$  is pushed up:

Setting  $\lambda=1$ ,

$$E_1 = \epsilon_1 + \langle 1 | H_1 | 1 \rangle - \frac{|\langle 2 | H_1 | 1 \rangle|^2}{|\epsilon_2 - \epsilon_1|}$$

$$E_2 = \epsilon_2 + \langle 2 | H_1 | 2 \rangle + \frac{|\langle 2 | H_1 | 1 \rangle|^2}{|\epsilon_2 - \epsilon_1|}$$

or

$$E_1 = \tilde{\epsilon}_1 - \frac{|V|^2}{\epsilon_2 - \epsilon_1}$$

$$E_2 = \tilde{\epsilon}_2 + \frac{|V|^2}{\epsilon_2 - \epsilon_1},$$

with  $\langle 2 | H_1 | 1 \rangle \equiv V$  and

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$$\tilde{\epsilon}_i = \epsilon_i + \langle \tilde{i} | H_1 | \tilde{i} \rangle.$$

This phenomenon is known as "level repulsion."

There is a problem if  $\epsilon_2 \rightarrow \epsilon_1$ . Then the second-order corrections diverge, and perturbation theory fails! However, we can solve this two-level system exactly:

$$H = H_0 + H_1 = \begin{pmatrix} \tilde{\epsilon}_1 & V \\ V^* & \tilde{\epsilon}_2 \end{pmatrix}$$

The energy eigenvalues are  
determined by

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$$\begin{vmatrix} \tilde{\epsilon}_1 - \lambda & V \\ V^* & \tilde{\epsilon}_2 - \lambda \end{vmatrix} = 0$$

$$(\tilde{\epsilon}_1 - \lambda)(\tilde{\epsilon}_2 - \lambda) - |V|^2 = 0$$

$$\lambda^2 - (\tilde{\epsilon}_1 + \tilde{\epsilon}_2)\lambda + \tilde{\epsilon}_1\tilde{\epsilon}_2 - |V|^2 = 0$$

$$\lambda = \frac{\tilde{\epsilon}_1 + \tilde{\epsilon}_2}{2} \pm \sqrt{\left(\frac{\tilde{\epsilon}_1 + \tilde{\epsilon}_2}{2}\right)^2 - \tilde{\epsilon}_1\tilde{\epsilon}_2 + |V|^2}$$

$$E_{\pm} = \frac{\tilde{\epsilon}_1 + \tilde{\epsilon}_2}{2} \pm \sqrt{\left(\frac{\tilde{\epsilon}_1 - \tilde{\epsilon}_2}{2}\right)^2 + |V|^2}$$

If we expand the exact energies 12  
 to leading order in  $H_1$ , we  
 find

$$E_{\pm} = \frac{\tilde{\varepsilon}_1 + \tilde{\varepsilon}_2}{2} \pm \frac{\tilde{\varepsilon}_2 - \tilde{\varepsilon}_1}{2} \left( 1 + \left| \frac{2V}{\tilde{\varepsilon}_2 - \tilde{\varepsilon}_1} \right|^2 \right)^{1/2}$$

$$E_{\pm} \approx \frac{\tilde{\varepsilon}_1 + \tilde{\varepsilon}_2}{2} \pm \frac{\tilde{\varepsilon}_2 - \tilde{\varepsilon}_1}{2} \pm \frac{|V|^2}{\tilde{\varepsilon}_2 - \tilde{\varepsilon}_1}$$

$$E_{+} \approx \tilde{\varepsilon}_2 + \frac{|V|^2}{\tilde{\varepsilon}_2 - \tilde{\varepsilon}_1}$$

$$= \varepsilon_2 + \langle 2 | H_1 | 2 \rangle + \frac{|\langle 2 | H_1 | 1 \rangle|^2}{\varepsilon_2 - \varepsilon_1}$$

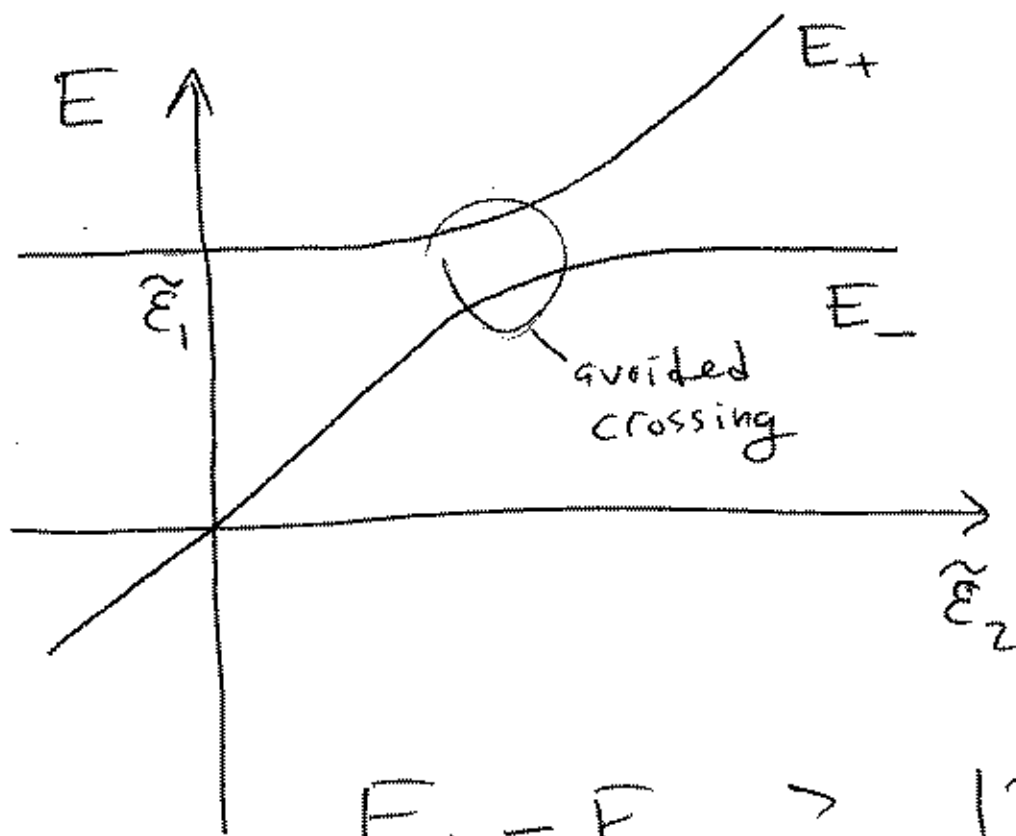
$$+ \mathcal{O}(H_1^3)$$

$$E_{-} = \varepsilon_1 + \langle 1 | H_1 | 1 \rangle - \frac{|\langle 2 | H_1 | 1 \rangle|^2}{\varepsilon_2 - \varepsilon_1} + \mathcal{O}(H_1^3)$$

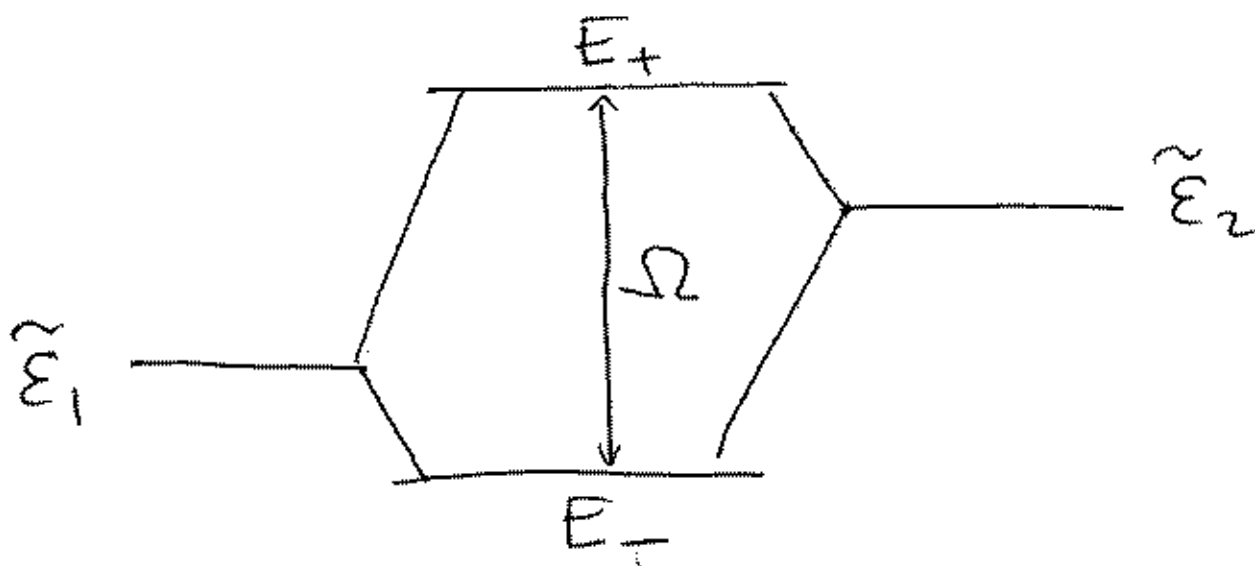
Thus we recover the perturbative results provided  $\epsilon_2 \neq \epsilon_1$ . 13

When  $\epsilon_1 = \epsilon_2$ ,  $H_0 = \epsilon_1 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , and the problem reduces to that of diagonalizing  $H_1$ .

That is to say, if the eigenvalues of  $H_0$  are degenerate, we can choose appropriate linear combinations of  $|1\rangle$  and  $|2\rangle$  that have the same eigenvalue with respect to  $H_0$ , but which also diagonalize  $H_1$ . This is the method of degenerate perturbation theory.



$$E_+ - E_- \geq 12V$$



$$\Omega = \sqrt{(\tilde{\epsilon}_2 - \tilde{\epsilon}_1)^2 + 4|V|^2}$$