

# Effective Field Theories of Light Nuclei

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Background by S. Hossenfelder



# Outline

- Effective Field Theories
- Shallow Bound States:
  - ▶  $NN \rightarrow NN$  and  $s_1(d)$ ,  $s_0$
  - ▶  $Nd \rightarrow Nd$  and  $s_{1/2}(t)$
- Narrow Resonances:
  - ▶  $N\alpha \rightarrow N\alpha$  and  $p_{3/2}$
- Outlook

*Wanted*  
*Dead ♦ or ♦ Alive*

## QCD EXPLANATION OF NUCLEAR PHYSICS

### **Reward**

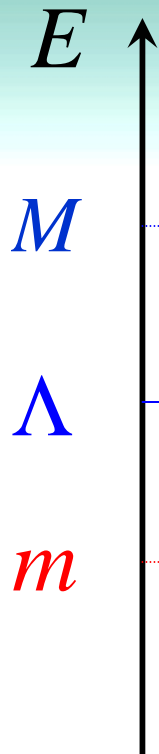
understanding of gross features:  
Why is  $B/A \sim 10 \text{ MeV} \ll M_{QCD} \sim 1 \text{ GeV}$  ?  
How large are few-nucleon forces?  
Why is isospin a good symmetry?

...

### **Beware**

coupling constants not small

# What is Effective?



$$\begin{aligned}
 Z &= \int D\phi_H \int D\phi_L \exp\left(i \int d^4x L_{und}(\phi_H, \phi_L)\right) \\
 &\quad \times \int D\varphi \delta(\varphi - f_\Lambda(\phi_L)) \\
 &= \int D\varphi \exp\left(i \int d^4x L_{EFT}(\varphi)\right)
 \end{aligned}$$

$$L_{EFT} = \sum_{d=0}^{\infty} \sum_{i(d,n)} c_i(M, \Lambda) O_i((\partial, m)^d \varphi^n)$$

renormalization-group invariance

$$\frac{\partial Z}{\partial \Lambda} = 0$$

local underlying symmetries

$$\left\{ \begin{array}{l}
 T = T^{(\infty)}(Q) \sim \underbrace{N(M)}_{\text{normalization}} \sum_{\nu=\nu_{\min}}^{\infty} \sum_i \tilde{c}_{\nu,i}(\Lambda) \left[ \frac{Q}{M} \right]^{\nu} \underbrace{F_{\nu,i}\left(\frac{Q}{m}; \frac{\Lambda}{m}\right)}_{\text{non-analytic, from loops}} \\
 \frac{\partial T}{\partial \Lambda} = 0
 \end{array} \right.$$

$$\nu = \nu(d, n, \dots) \quad \text{"power counting"}$$

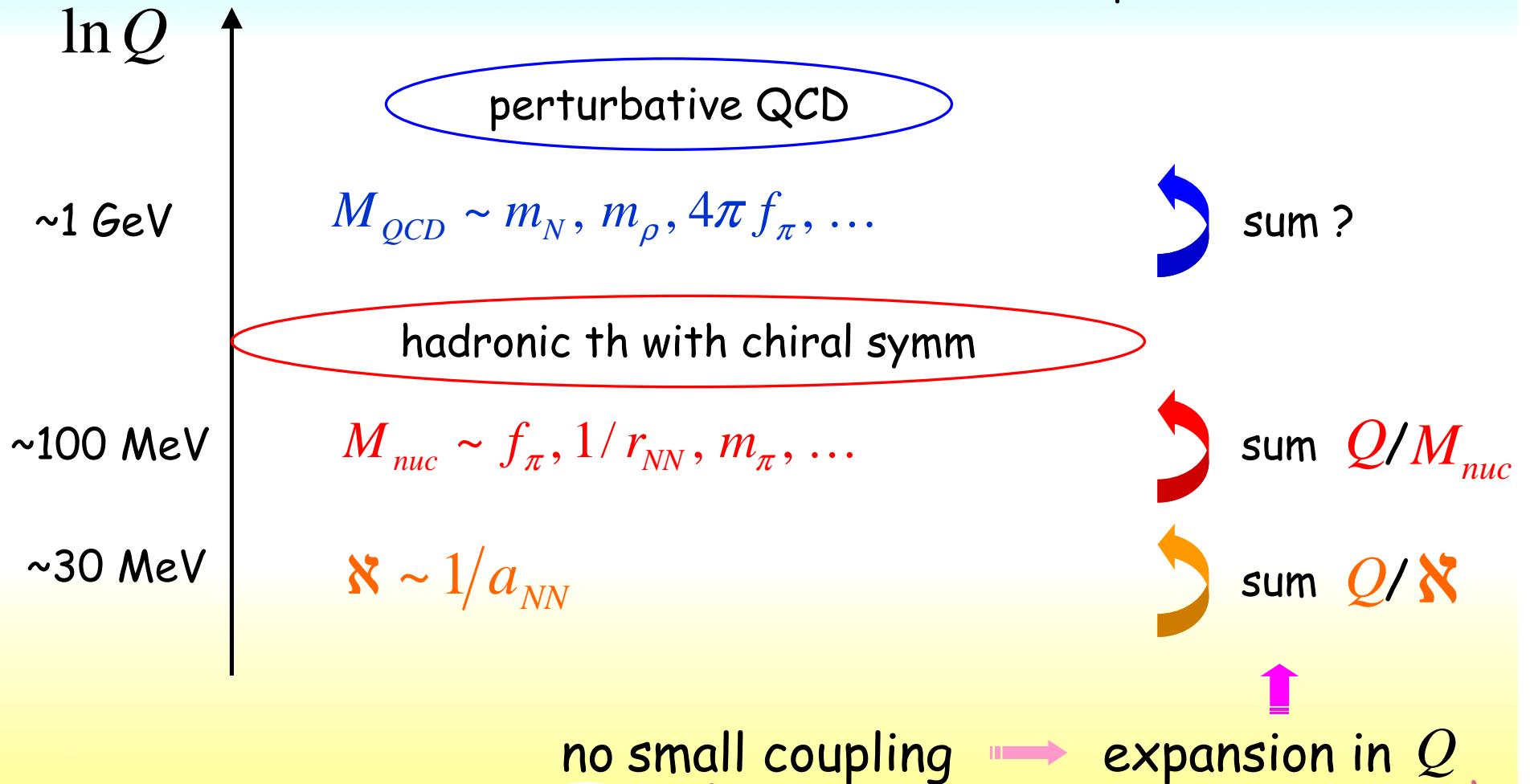
↳ e.g. # loops  $L$

For  $Q \sim m$ , truncate consistently with RG invariance  
so as to allow systematic improvement (perturbation theory):

$$T = T^{(\nu_{\max})} + \mathcal{O}\left(\frac{Q}{M}\right)^{\nu_{\max}+1} \qquad \frac{\partial T^{(\nu_{\max})}}{\partial \Lambda} = \mathcal{O}\left(\frac{Q}{\Lambda}\right)^{\nu_{\max}+1}$$

# Nuclear physics scales

"His scales are His pride", Book of Job



# Nuclear EFTs

$$Q \sim m_\pi \ll M_{QCD}$$

pionful EFT

- degrees of freedom: nucleons, pions (+ deltas, roper?, ...)

$$m_\Delta - m_N \sim 2m_\pi, m_{N'} - m_N \sim 3.5m_\pi$$

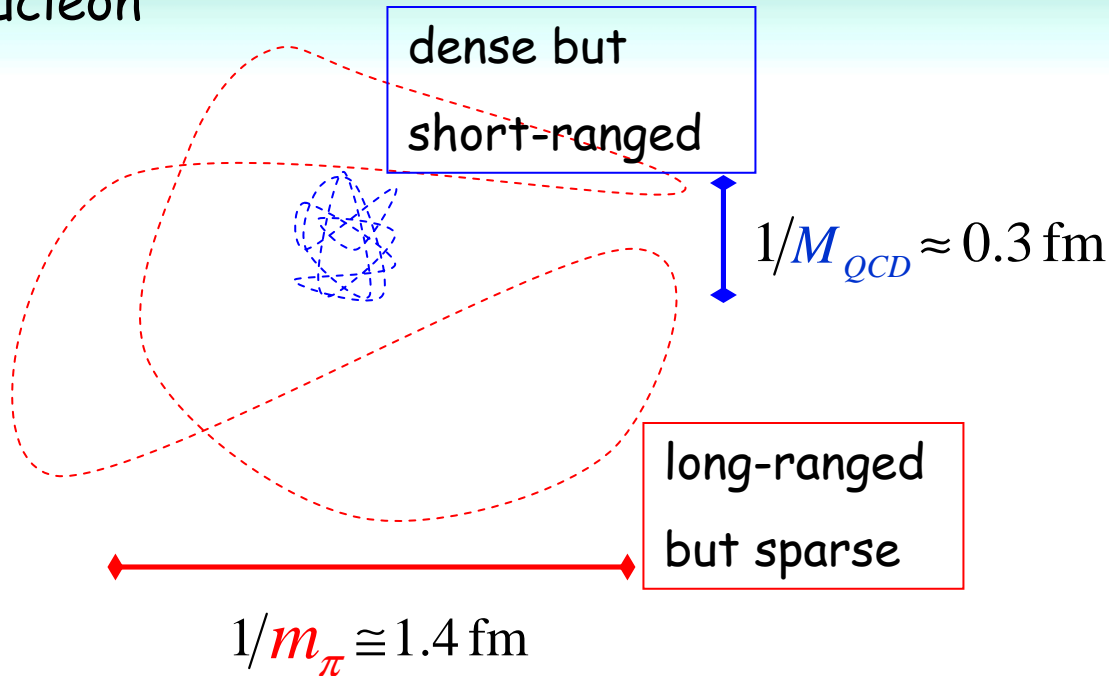
- symmetries: Lorentz, ~~P~~, ~~T~~, chiral

- expansion in:  $\frac{Q}{M_{QCD}} = \begin{cases} Q/m_N \\ Q/m_\rho, \dots \\ Q/4\pi f_\pi \end{cases}$  non-relativistic  
multipole  
pion loop

# A = 0, 1: chiral perturbation theory

Weinberg '79  
Gasser + Leutwyler '84  
...  
Gasser, Sainio + Svarc '87  
Jenkins + Manohar '91  
...

nucleon



Weinberg '90, '92  
Ordonez + v.K. '92  
...  
Kaplan, Savage + Wise '98  
...  
Beane, Bedaque, Savage + v.K. '02  
...  
Entem + Machleidt '03  
Epelbaum, Glockle + Meissner '04  
...

# A ≥ 2: resummed chiral perturbation theory

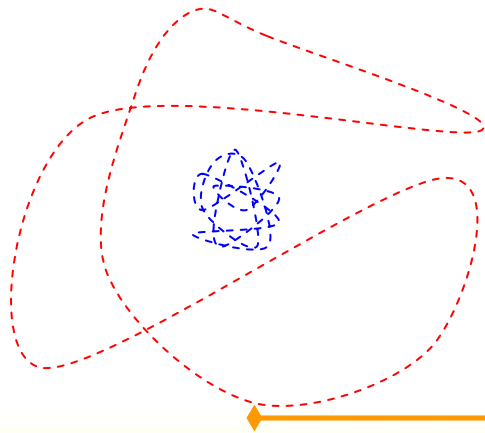


- overkill at lower energies!

e.g.  $NN$   $s_1$  channel:

(real) bound state = deuteron

$$\mathcal{N}_1 \sim \sqrt{m_N B_d} \cong 45 \text{ MeV} < m_\pi$$

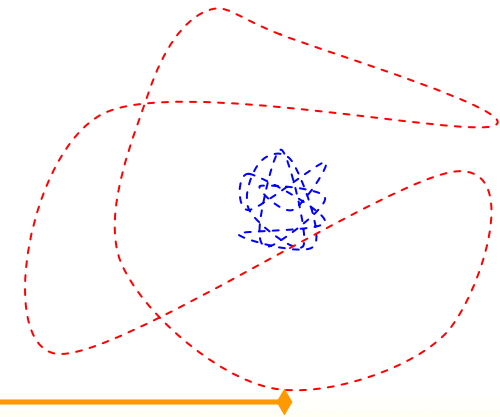


$$1/\mathcal{N}_1 \cong 4.5 \text{ fm}$$

$s_0$  channel:

(virtual) bound state

$$\mathcal{N}_0 \sim \sqrt{m_N B_{d^*}} \cong 8 \text{ MeV} \ll m_\pi$$



→ multipole expansion of meson cloud:

contact interactions among local nucleon fields

$$Q \sim \hbar \ll M_{nuc}$$

pionless EFT

- degrees of freedom: nucleons
- symmetries: Lorentz, ~~P~~, ~~T~~

- expansion in:  $\frac{Q}{M_{nuc}} = \begin{cases} Q/m_N & \text{non-relativistic} \\ Q/m_\pi, \dots & \text{multipole} \end{cases}$

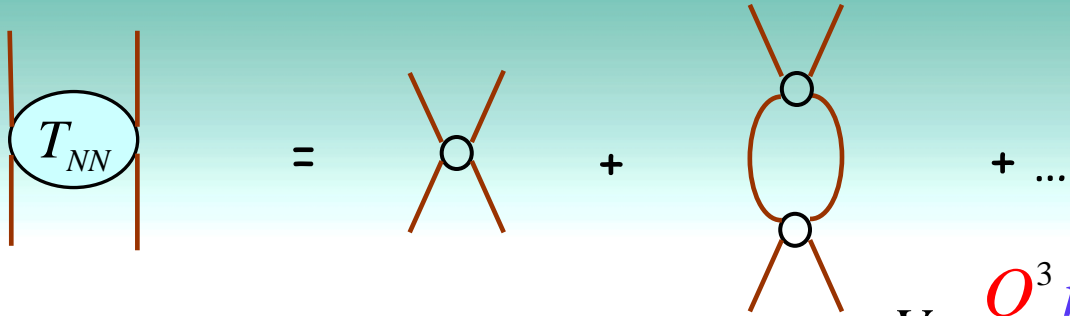
$$L_{EFT} = N^+ \left( i \partial_0 + \frac{\nabla^2}{2m_N} \right) N + C_0 N^+ N N^+ N$$

$$+ N^+ \frac{\nabla^4}{8m_N^3} N + C_2 N^+ N N^+ \nabla^2 N$$

$$+ C'_2 N^+ \vec{\nabla} N \cdot N^+ \vec{\nabla} N + \dots$$

omitting  
spin, isospin

v.K. '97 '99  
 Kaplan, Savage + Wise '98  
 Gegelia '98



$$V_S = C_0 + C_2 Q^2 + \dots$$

$$V_S \frac{Q^3 m_N}{4\pi Q^2} V_S = V_S \left[ \frac{m_N Q}{4\pi} V_S \right]$$

$\hookrightarrow = \frac{Q}{\Lambda}$

$$\Rightarrow T_{NN} \sim \frac{4\pi}{m_N M_{nuc}} \left\{ \frac{M_{nuc}}{\Lambda + iQ} + \left( \frac{Q}{\Lambda + iQ} \right)^2 + \dots \right\}$$

$\nu = -1 \qquad \nu = 0$

**s wave** {

- scattering length
- $a_0 \sim 1/\Lambda$
- effective range
- $r_0 \sim 1/M_{nuc}$
- b.s. at  $Q \sim i\Lambda$

$p$ , other waves

if

$$\begin{cases} C_0 = \frac{4\pi}{m_N \Lambda} \\ C_2 = \frac{4\pi}{m_N \Lambda^2 M_{nuc}} \\ \vdots \end{cases}$$

but

$$\begin{cases} C'_2 = \frac{4\pi}{m_N M_{nuc}^3} \\ \vdots \end{cases}$$

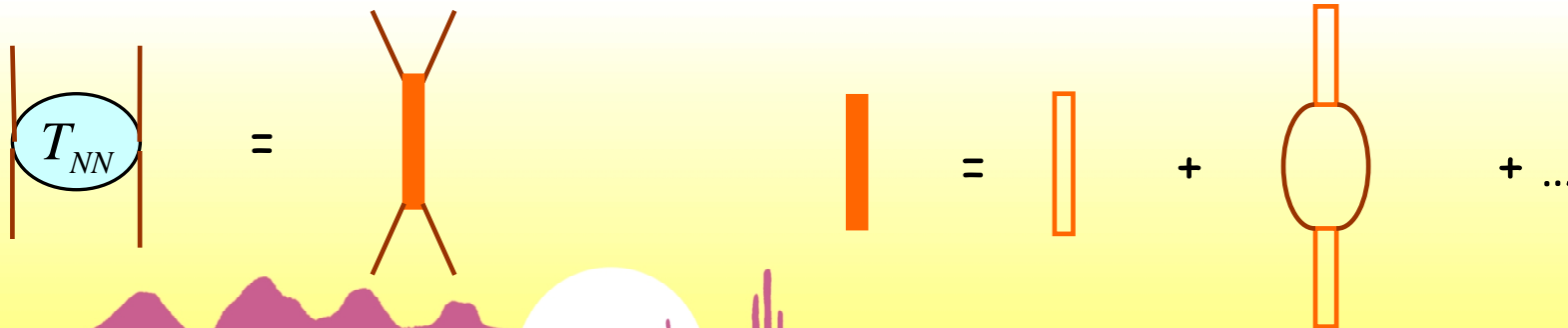
# Alternative: auxiliary field

$$L_{EFT} = N^+ \left( i\partial_0 + \frac{\nabla^2}{2m_N} \right) N + T^+ (-\Delta) T + \frac{g}{\sqrt{2}} [T^+ NN + N^+ N^+ T] \\ + N^+ \frac{\nabla^4}{8m_N^3} N + \sigma T^+ \left( i\partial_0 + \frac{\nabla^2}{4m_N} \right) T + \dots$$

sign

integrate out auxiliary field: same Lag as before with  $C_0 = \frac{g^2}{\Delta}, \dots$

$\Delta \sim \cancel{\times}, \quad \frac{g^2}{4\pi} \sim \frac{1}{m_N}, \dots$



# renormalization: s wave

$$\text{X} \sim C_0(\Lambda) \quad \leftarrow \quad \leftarrow \quad \rightarrow \quad \rightarrow \quad \text{X} \sim C_2(\Lambda) k^2$$

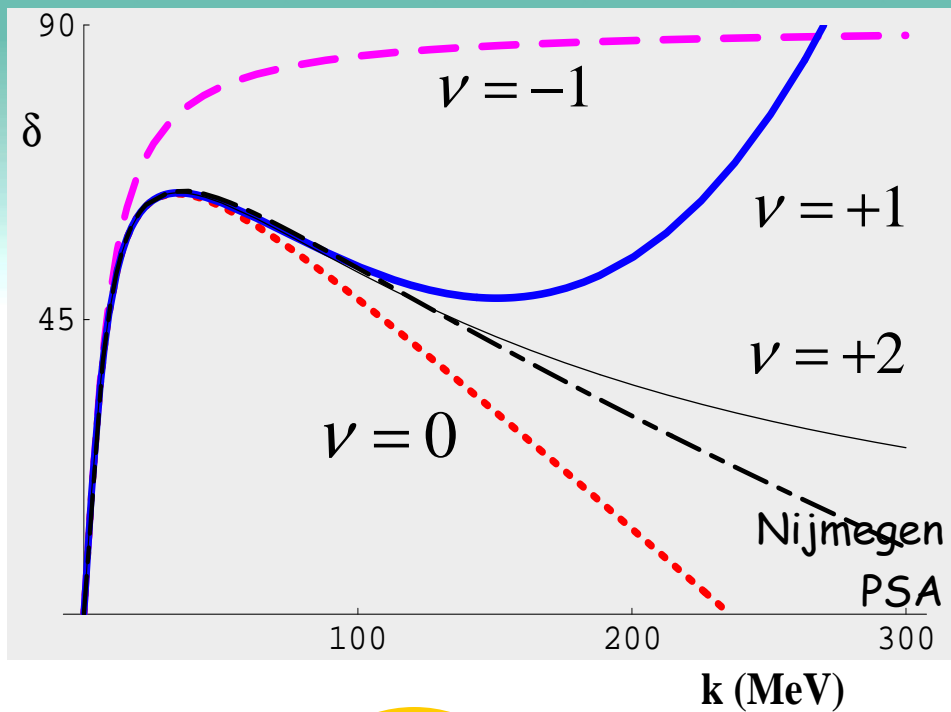
$$\text{O} \sim C_0(\Lambda)^2 m_N \left[ \# \Lambda + \frac{ik}{4\pi} + \# \frac{k^2}{\Lambda} + \dots \right] \quad \text{(next order)}$$

or

$$\text{I} \sim \left[ \left( -\frac{\Delta}{g^2} + \# m_N \Lambda + \dots \right) + i \frac{m_N k}{4\pi} + \dots \right]^{-1} \quad \text{- counterterms}$$

long-range physics:  
non-analytic in  $E$   
- unitarity

short-range physics:  
analytic in  $E$   
- high momenta in loops  
- counterterms



Chen, Rupak + Savage '99

fitted  $a_1 = 5.42 \text{ fm (exp)}$

$r_1 = 1.75 \text{ fm (exp)}$

predicted

$B_d = 1.91 \text{ MeV } (\nu = 0)$

$B_d = 2.24 \text{ MeV (expt)}$

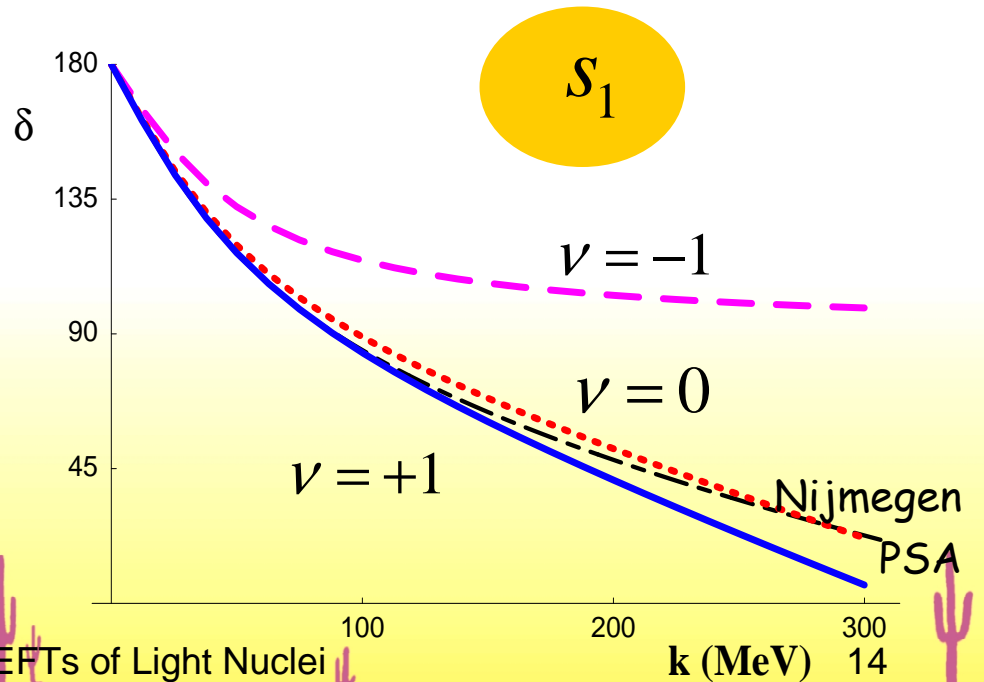
$S_0$

fitted  $a_0 = -20.0 \text{ fm (exp)}$

$r_0 = 2.78 \text{ fm (exp)}$

predicted

$B_{d^*} = 0.09 \text{ MeV } (\nu = 0)$



$S_1$

$$T_{Nd} = \text{tree} + \text{loop} + \dots$$

$$\sim \frac{g^2}{Q^2/m_N} \quad \sim \frac{Q^3}{4\pi} \left( \frac{g^2}{Q^2/m_N} \right)^2 \quad \sim \frac{g^2}{Q^2/m_N} \frac{Q}{\cancel{\lambda}}$$

$$T_{Nd} = \text{tree} + \text{tree} \circ T_{Nd}$$

$$T_{Nd} = K_{ONE} + \lambda \int_0^\Lambda \frac{d^3l}{(2\pi)^3} K_{ONE} T_{Nd}$$

$$L_{EFT} = \dots + D_0 N^+ N N^+ N N^+ N + \dots$$

naive dimensional analysis  $D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{M_{nuc}^3} (\nu = +1)$

Bedaque + v.K. '97

Bedaque, Hammer + v.K. '98

$S_{3/2}$  no three-body force up to  $\nu = +3$

$$\lambda \leq \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

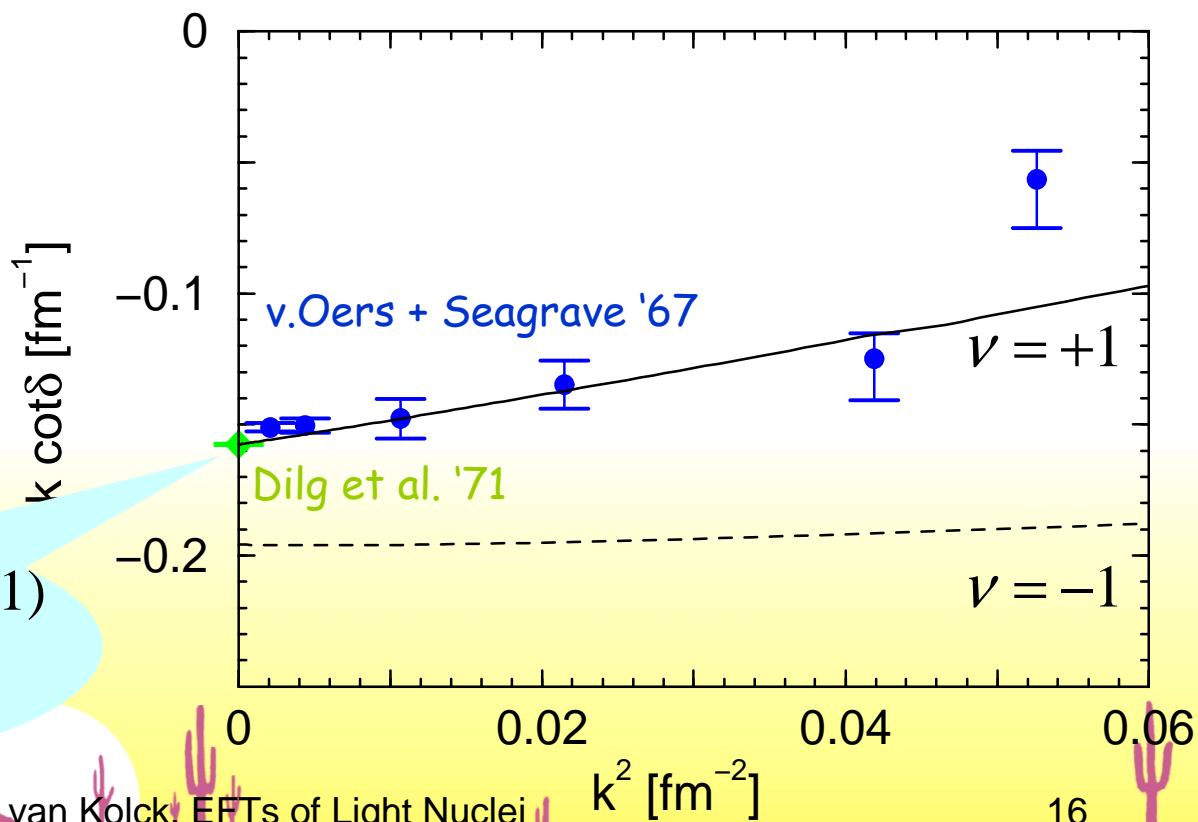
$$T_{Nd} \xrightarrow{p \gg \kappa} \frac{1}{p^2}$$

$$\Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \kappa} 0$$

predicted

$$a_{3/2} = 6.33 \pm 0.10 \text{ fm } (\nu = +1)$$

$$a_{3/2} = 6.35 \pm 0.02 \text{ fm (exp)}$$





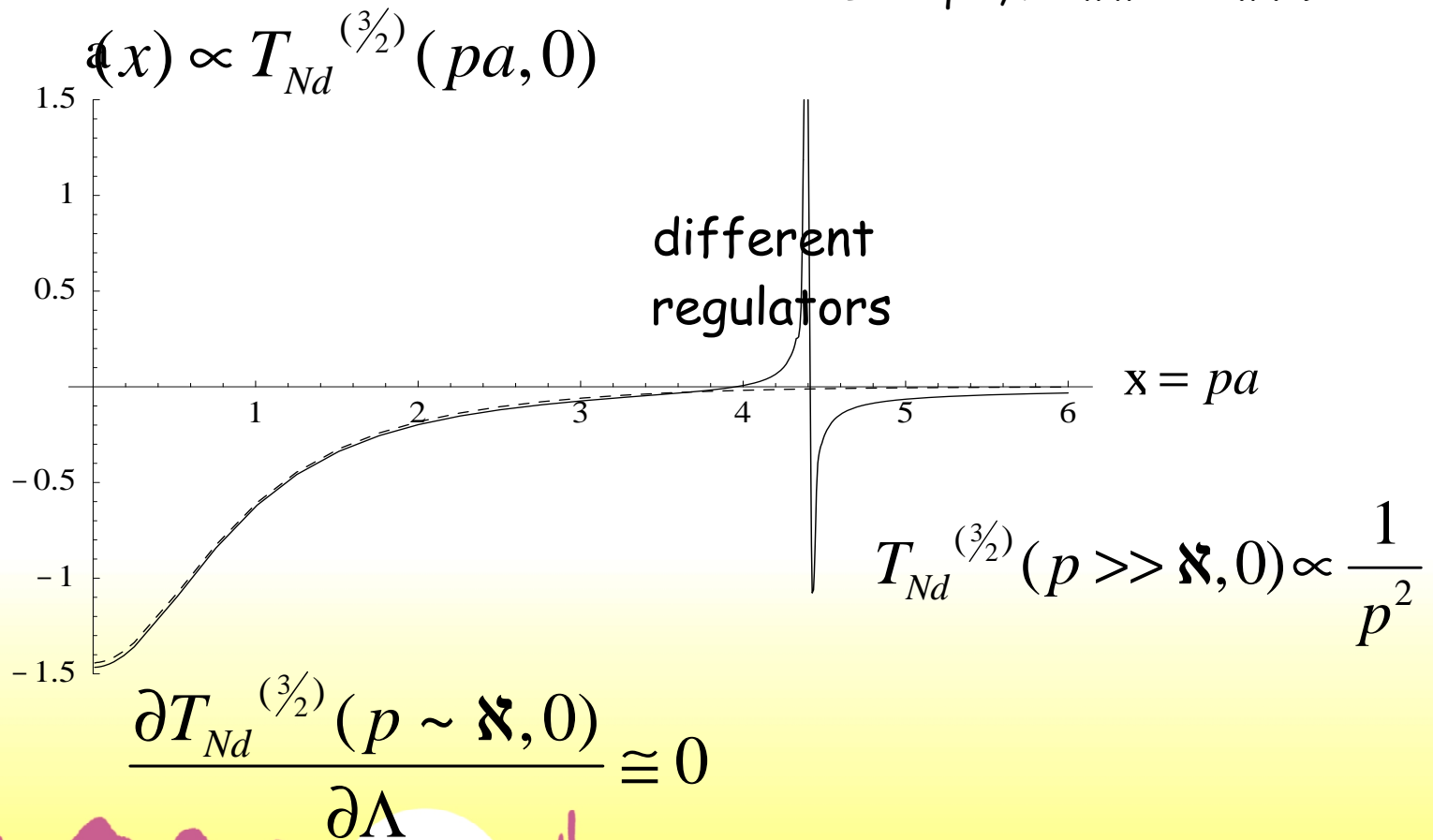
# renormalization: quartet s wave

$$\lambda \leq \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

Skornyakov + Ter-Martirosian '60

Bedaque + v.K. '97

Bedaque, Hammer + v.K. '98



$S_{1/2}$ 

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

Bedaque, Hammer + v.K. '99 '00  
Hammer + Mehen '01  
Bedaque et al. '03

$$T_{Nd} \xrightarrow{p \gg \kappa} A \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right) \Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \kappa} \neq 0 \quad \text{unless}$$

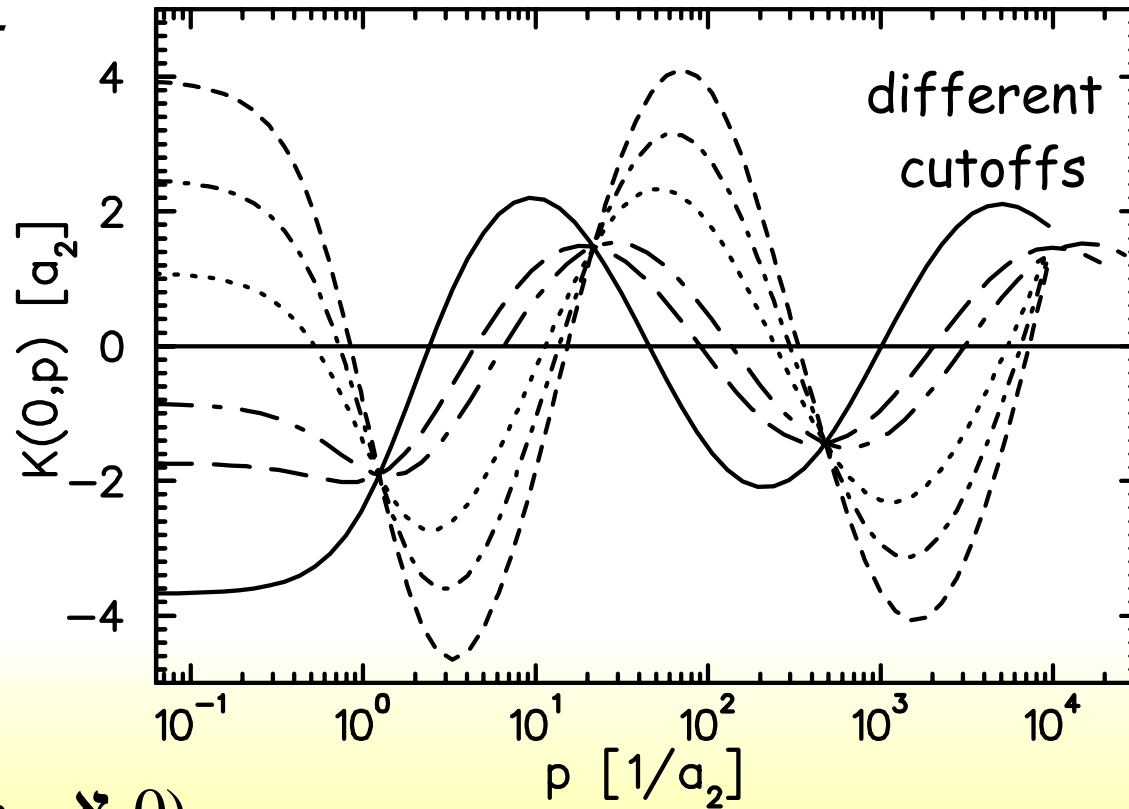
$$D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{\kappa^2 M_{nuc}} \quad (\nu = -1)$$

# renormalization: doublet s wave -I

Danilov '63

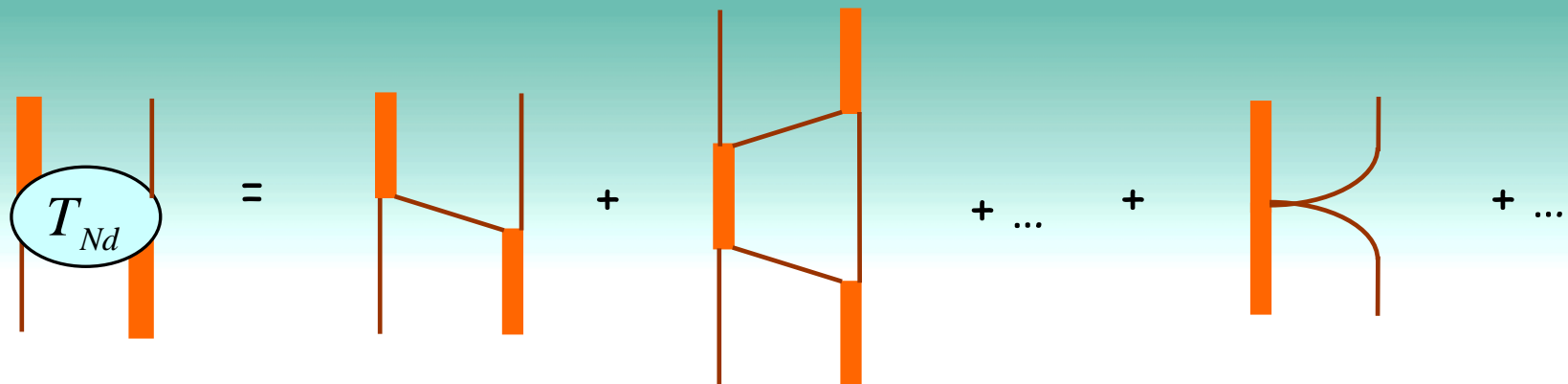
Bedaque, Hammer + v.K. '99 '00

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

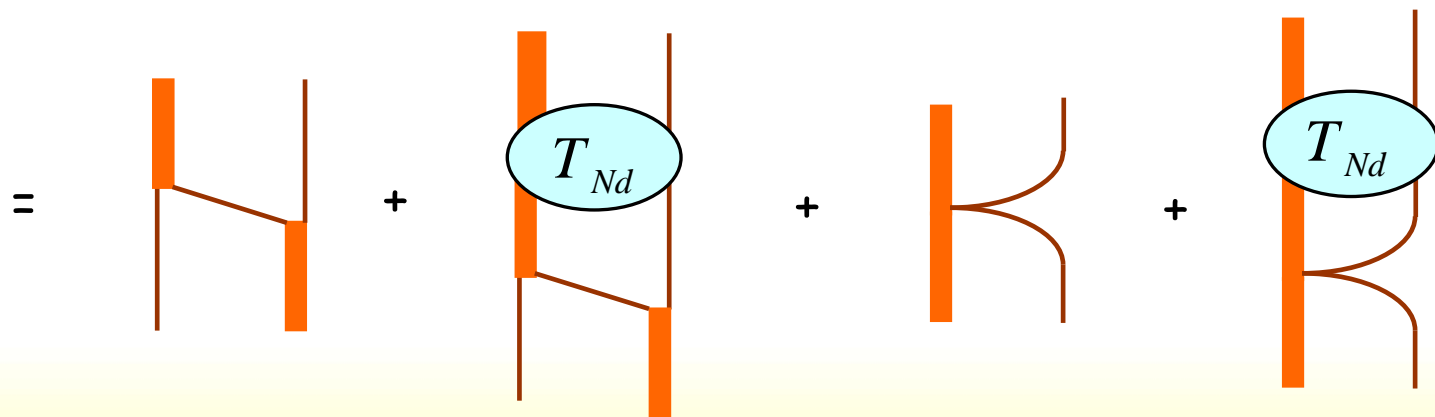


$$\frac{\partial T_{Nd}^{(1/2)}(p \sim \Lambda, 0)}{\partial \Lambda} \neq 0$$

$$T_{Nd}^{(1/2)}(p \gg \Lambda, 0) \propto \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right)$$



$$\sim \frac{g^2}{Q^2/m_N} \qquad \sim \frac{4\pi}{\cancel{\Lambda}^2}$$



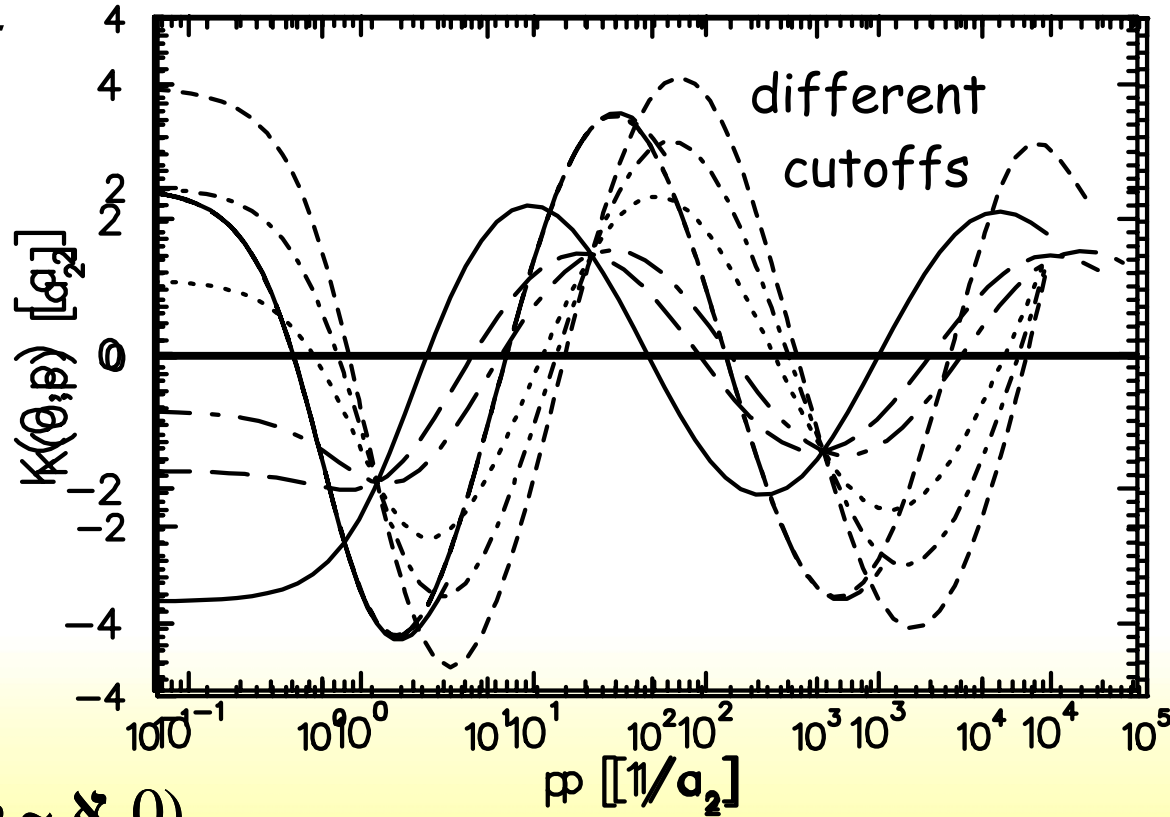
$$T_{Nd} = K_{ONE} + \lambda \int_0^\Lambda \frac{d^3l}{(2\pi)^3} K_{ONE} T_{Nd} + K_{TBF} + \lambda \int_0^\Lambda \frac{d^3l}{(2\pi)^3} K_{TBF} T_{Nd}$$

# renormalization: doublet s wave -I

Danilov '63

Bedaque, Hammer + v.K. '99 '00

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$



$$\frac{\partial T_{Nd}^{(1/2)}(p \sim \Lambda, 0)}{\partial \Lambda} \neq 0$$

$$T_{Nd}^{(1/2)}(p \gg \Lambda, 0) \propto \cos\left(s_0 \ln \frac{p}{\Lambda^*} + \delta\right)$$

$S_{1/2}$

$$\lambda > \lambda_c \equiv \frac{3\sqrt{3}}{4\pi}$$

Bedaque, Hammer + v.K. '99 '00  
Hammer + Mehen '01  
Bedaque et al. '03

$$T_{Nd} \xrightarrow{p \gg \kappa} A \cos\left(s_0 \ln \frac{p}{\Lambda} + \delta\right) \Rightarrow \frac{\partial T_{Nd}}{\partial \Lambda} \xrightarrow{p \sim \kappa} \neq 0 \quad \text{unless}$$

$$D_0 \sim \left(\frac{4\pi}{m_N}\right)^2 \frac{1}{\kappa^2 M_{nuc}} \quad (\nu = -1)$$

(limit cycle!)

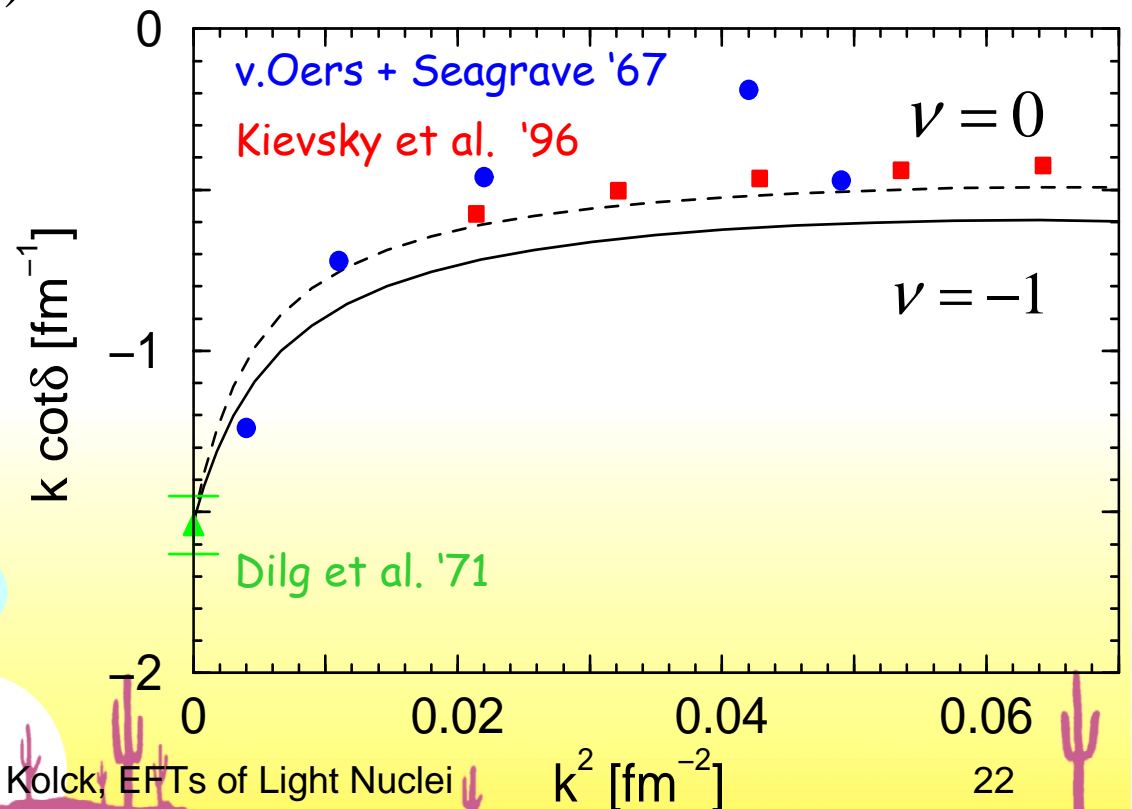
fitted

$$a_{1/2} = 0.65 \text{ fm (exp)}$$

predicted

$$B_t = 8.3 \text{ MeV } (\nu = 0)$$

$$B_t = 8.48 \text{ MeV (expt)}$$

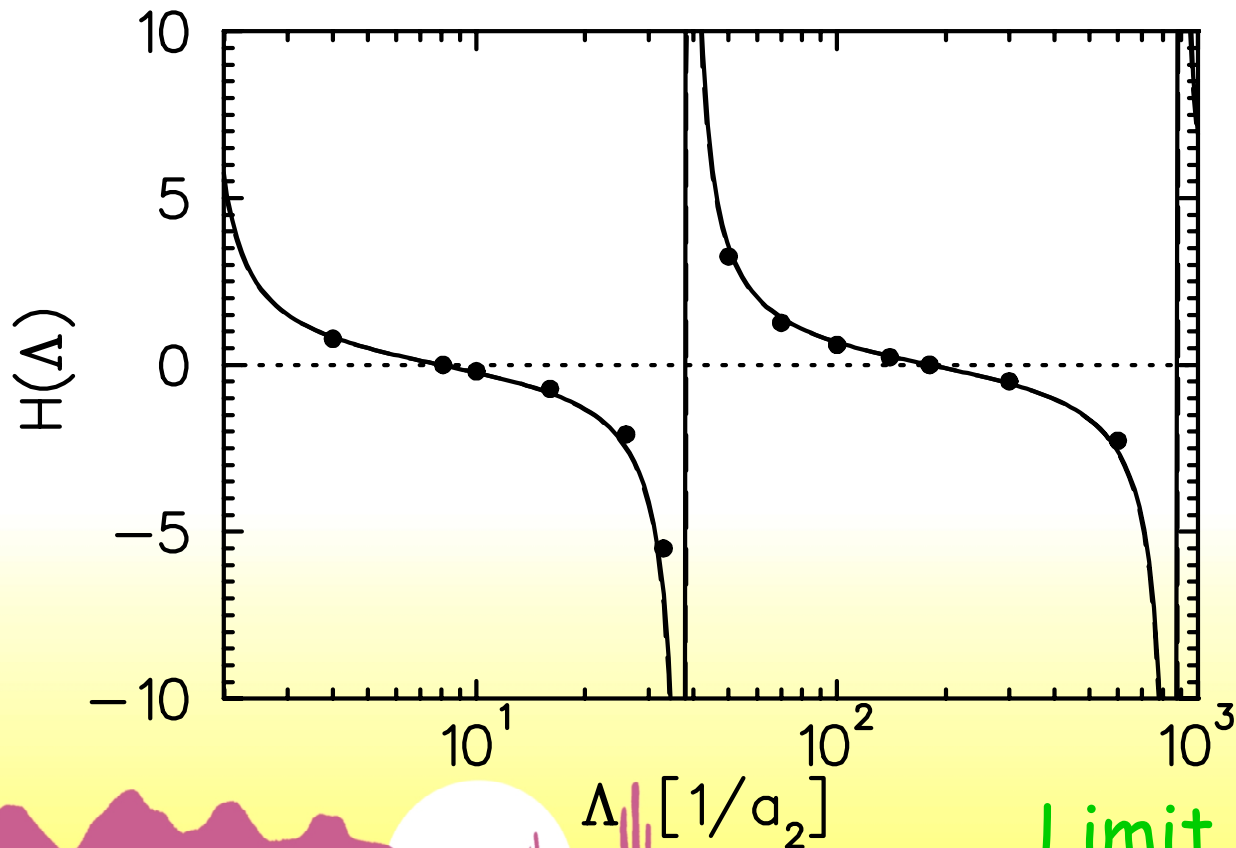


# renormalization: doublet s wave -II

Bedaque, Hammer + v.K. '99 '00

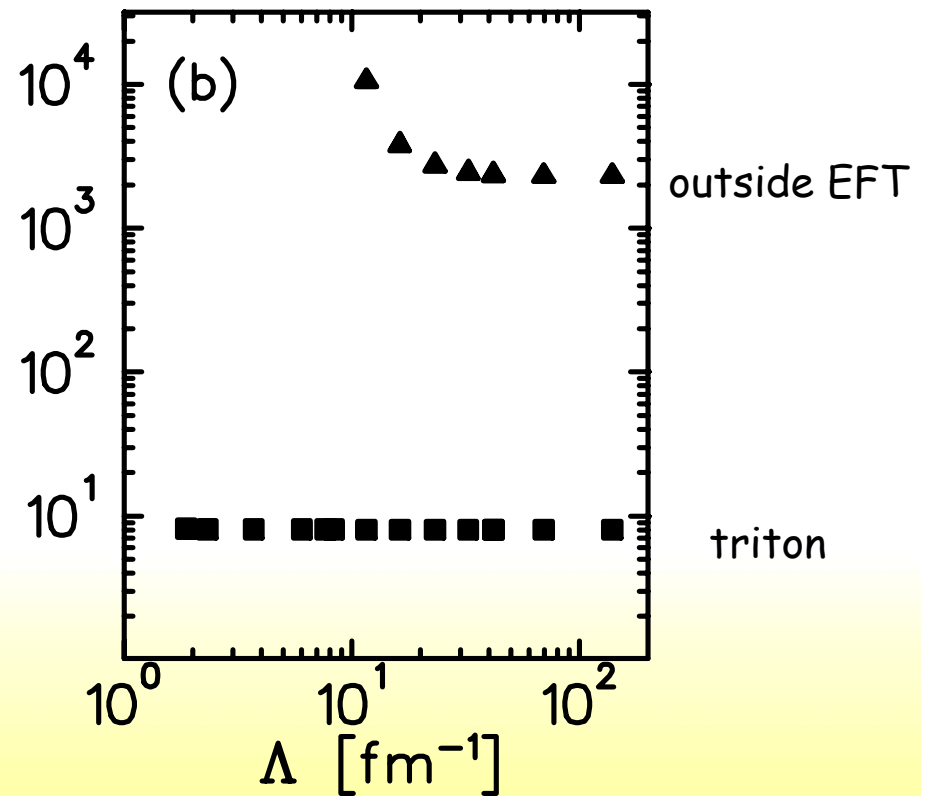
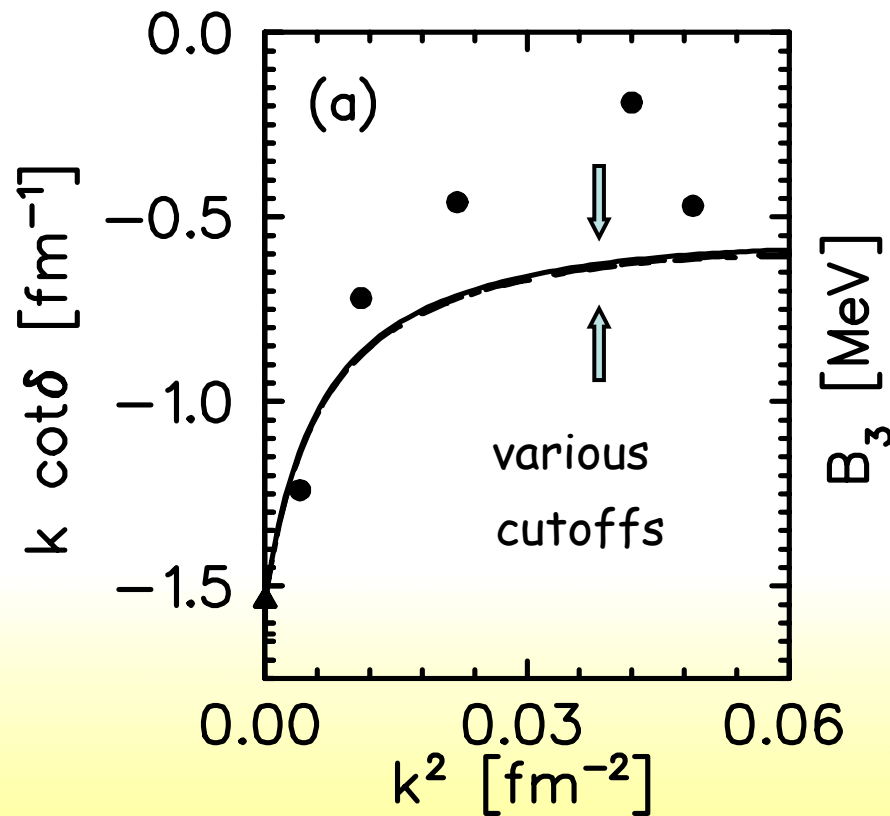
$$D_0 \sim \left( \frac{4\pi}{m_N} \right)^2 \frac{1}{\mathfrak{N}^2 M_{nuc}} H(\Lambda)$$

$$H(\Lambda) = \frac{\sin(\ln(\Lambda/\Lambda_*) + \arctan(1/s_0))}{\sin(\ln(\Lambda/\Lambda_*) - \arctan(1/s_0))}$$



# renormalization: doublet s wave -III

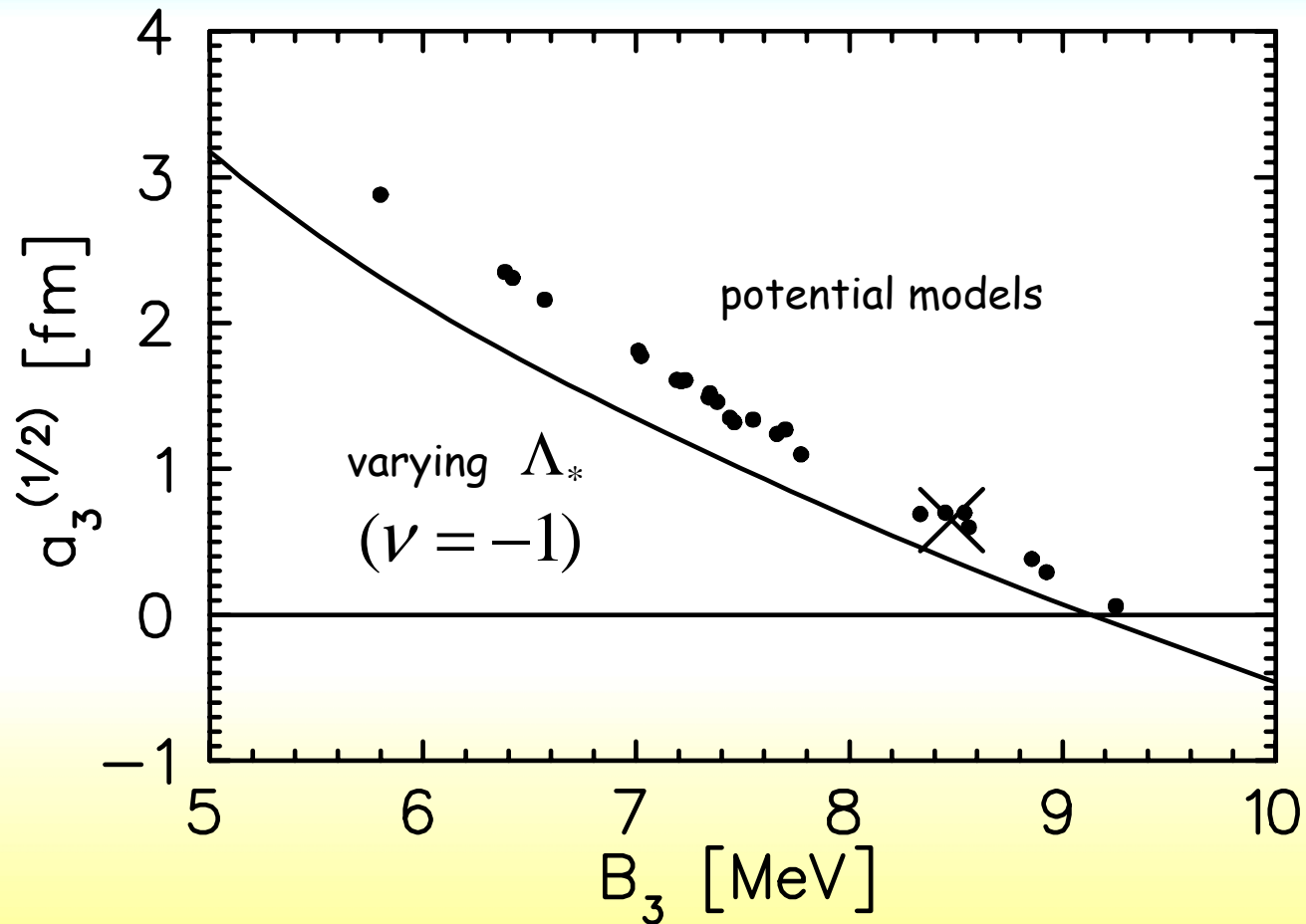
Bedaque, Hammer + v.K. '99 '00





# Phillips line

Bedaque, Hammer + v.K. '99 '00



- many-body systems get complicated rapidly

+ (continue) focus on simpler halo nuclei

one or more loosely-bound nucleons (near driplines)

$$\lambda \equiv \sqrt{m_N E_N} \ll \sqrt{m_N E_c} \equiv M_c$$

nucleon separation energy

core excitation energy

e.g.

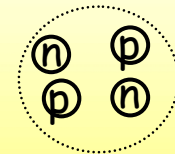
$${}^4\text{He} \left. \begin{array}{l} B_{\alpha^*} \cong 28 \text{ MeV} \\ B_{\alpha} \cong 8 \text{ MeV} \end{array} \right\} E_{\alpha} = B_{\alpha^*} - B_{\alpha} \cong 20 \text{ MeV}$$

" ${}^5\text{He}$ "  $p_{3/2}$  resonance at  $E_n \sim 1 \text{ MeV}$

${}^6\text{He}$   $E_{2n} \sim 1 \text{ MeV}$



$1/\lambda$



$n$

$n$



$1/M_c$

$$Q \sim \hbar \ll M_c$$

halo EFT

- degrees of freedom: nucleons, cores

- symmetries: Lorentz, ~~P~~, ~~T~~

- expansion in:  $\frac{Q}{M_c} = \begin{cases} Q/m_N, & Q/m_c \\ Q/m_\pi, \dots \end{cases}$  non-relativistic  
multipole

simplest formulation: auxiliary fields for core + nucleon states

e.g.  ${}^4\text{He} \mapsto$  scalar field  $\varphi$

$${}^4\text{He} + \text{N} \left\{ \begin{array}{l} s_{1/2} \equiv 0+ \mapsto \text{spin - 0 field } s \\ p_{1/2} \equiv 1- \mapsto \text{spin - 1/2 field } T_1 \\ p_{3/2} \equiv 1+ \mapsto \text{spin - 3/2 field } T_3 \\ \vdots \end{array} \right.$$

$$\begin{aligned}
 L_{EFT} = & N^+ \left( i \partial_0 + \frac{\nabla^2}{2 m_N} \right) N + \varphi^+ \left( i \partial_0 + \frac{\nabla^2}{2 m_\alpha} \right) \varphi \\
 & + T_3^+ \left[ \sigma_3 \left( i \partial_0 + \frac{\nabla^2}{2(m_\alpha + m_N)} \right) - \Delta_3 \right] T_3 \\
 & + \frac{g_3}{\sqrt{2}} \left[ T_3^+ \vec{S}^+ \cdot (N \vec{\nabla} \varphi - \varphi \vec{\nabla} N) + \text{H.c.} \right] \\
 & + s^+ (-\Delta_0) s + \frac{g_0}{\sqrt{2}} \left[ s^+ N \varphi + \text{H.c.} \right] \\
 & + \dots \\
 & + T_1^+ (-\Delta_1) T_1 + \frac{g_1}{\sqrt{2}} \left[ T_1^+ \vec{\sigma} \cdot (N \vec{\nabla} \varphi - \varphi \vec{\nabla} N) + \text{H.c.} \right] \\
 & + \dots
 \end{aligned}$$

spin transition  
operator

$N\alpha$  $p_{3/2}$ 

$$\boxed{\quad} = \frac{i \sigma_3}{E - \sigma_3 \Delta_3} = \frac{i \sigma_3 2\mu}{k^2 - \sigma_3 2\mu \Delta_3}$$

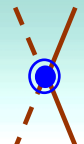
resonance at  $Q \sim \pm \kappa$  if  $\sigma_3 \Delta_3 > 0$  and reduced mass

$$\Delta_3 \sim \frac{\kappa^2}{\mu}, \quad \frac{g_3^2}{4\pi} \sim \frac{1}{\mu^2 M_c}, \quad \dots \quad \Rightarrow \quad a_{1+} \sim \frac{1}{\kappa^2 M_c}, \quad r_{1+} \sim M_c, \quad \dots$$


$$\boxed{\quad} = \boxed{\quad} + \text{loop} + \dots$$

$$\sim \frac{\mu}{Q^2 - \kappa^2} \sim \left( \frac{\mu}{Q^2 - \kappa^2} \right)^2 \frac{4\pi Q^2}{\mu^2 M_c} \frac{Q^3}{4\pi} \frac{\mu}{Q^2} \sim \frac{\mu}{Q^2 - \kappa^2} \frac{Q^2}{Q^2 - \kappa^2} \frac{Q}{M_c}$$


# renormalization: p wave



$$\sim C'_2(\Lambda) \vec{p} \cdot \vec{p}'$$



$$\sim C'_2(\Lambda)^2 \vec{p} \cdot \vec{p}' \mu \left[ \# \Lambda^3 + \# \Lambda k^2 + \frac{i k^3}{12\pi} + \# \frac{k^4}{\Lambda} + \dots \right]$$

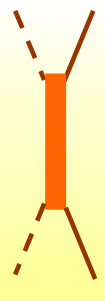


$$\sim C'_4(\Lambda) k^2 \vec{p} \cdot \vec{p}'$$

OOPS!

cannot resum  $C'_4$   
without  $C'_6$   
without ... ?!

but



$$\sim \left[ \left( -\frac{\Delta}{g^2} + \# \mu \Lambda^3 \right) + \left( \frac{\sigma}{\mu g^2} + \# \mu \Lambda \right) k^2 + i \frac{\mu k^3}{12\pi} + \dots \right]^{-1} \vec{p} \cdot \vec{p}'$$

other waves:

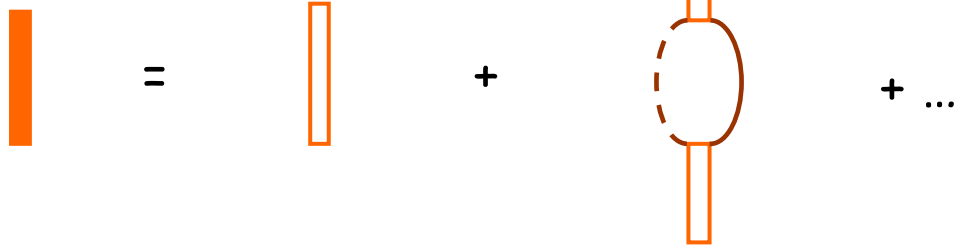
$$\Delta_0 \sim \Delta_1 \sim \dots \sim M_c,$$

$$\frac{g_0^2}{4\pi} \sim \frac{1}{\mu}, \quad \frac{g_1^2}{4\pi} \sim \frac{1}{\mu^2 M_c}, \quad \dots$$

$$a_{0+} \sim \frac{1}{M_c}, \quad r_{0+} \sim \frac{1}{M_c}, \quad \dots$$

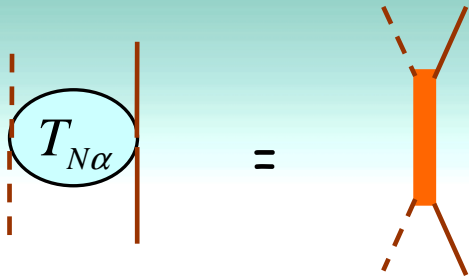
$$a_{1-} \sim \frac{1}{M_c^3}, \quad r_{1-} \sim M_c, \quad \dots$$

⋮



$$\sim \frac{1}{M_c} \quad \sim \left(\frac{1}{M_c}\right)^2 \frac{4\pi}{\mu} \frac{Q^3}{4\pi} \frac{Q}{\mu^2} \sim \frac{1}{M_c} \frac{Q}{M_c} \quad S_{1/2}$$

$$\sim \left(\frac{1}{M_c}\right)^2 \frac{4\pi}{\mu^2 M_c} \frac{Q^2}{4\pi} \frac{Q^3}{Q^2} \frac{\mu}{Q^2} \sim \frac{1}{M_c} \frac{Q^3}{\mu M_c^2} \quad P_{1/2}$$



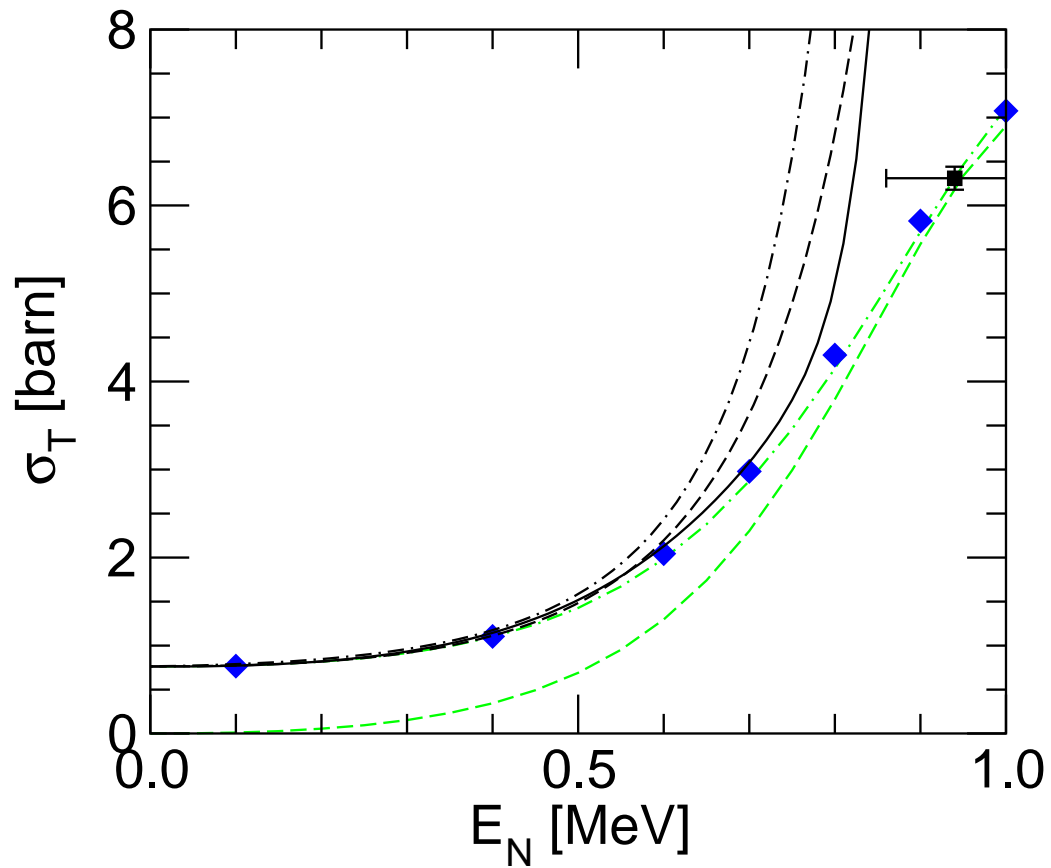
$$T_{N\alpha} \sim \frac{4\pi}{\mu M_c} \left\{ \begin{array}{l} \frac{Q^2}{Q^2 - \kappa^2} + \frac{Q}{M_c} \left( \frac{Q^2}{Q^2 - \kappa^2} \right)^2 + \left( \frac{Q}{M_c} \right)^2 \left( \frac{Q^2}{Q^2 - \kappa^2} \right)^3 + \dots \\ 1 + 0 + \left( \frac{Q}{M_c} \right)^2 + \dots \\ 0 + 0 + 0 + \dots \\ \dots \end{array} \right. \begin{array}{l} p_{3/2} \\ s_{1/2} \\ p_{1/2} \\ \dots \end{array}$$

$\nu = 0$

$\nu = 1$

$\nu = 2$

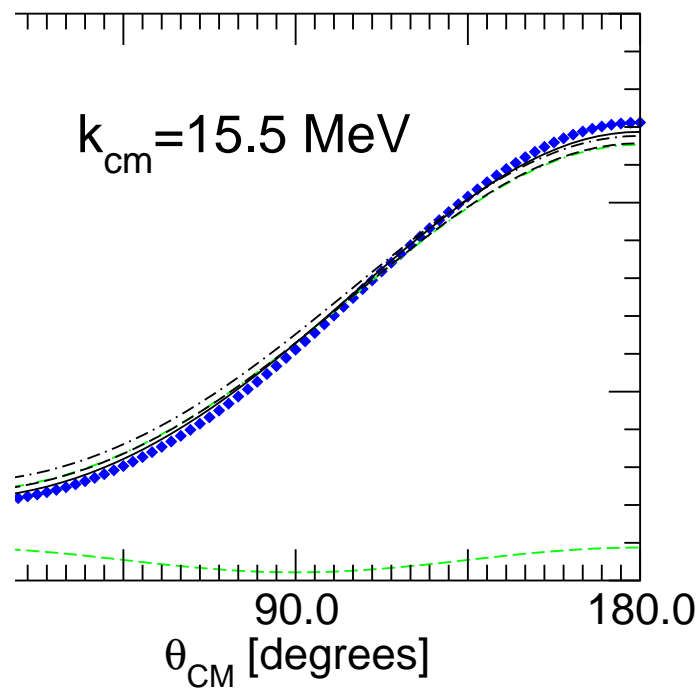




- ◆ NNDC, BNL
- Haesner et al. '83

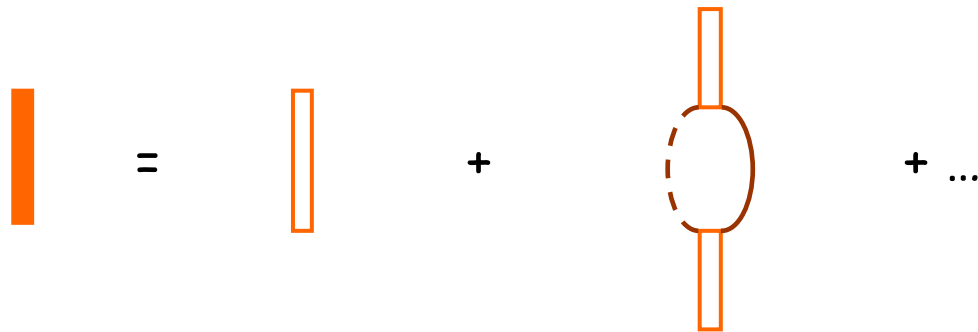
Bedaque, Hammer + v.K. '03

- $\nu = 0$
- · - ·  $\nu = 1$
- $\nu = 2$



except at  $Q = \mathcal{N} \pm O\left(\frac{\mathcal{N}^2}{M_c}\right)$  where

$P_{3/2}$

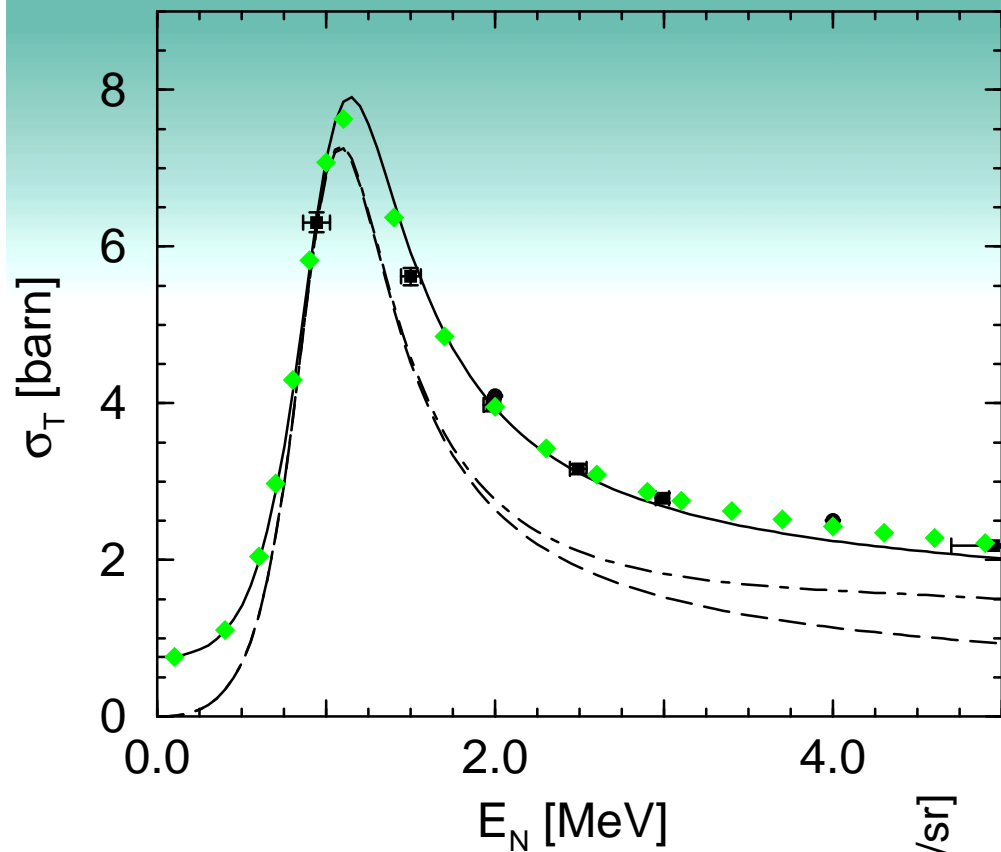


$$\sim \frac{\mu M_c}{\mathcal{N}^3} \quad \sim \left(\frac{\mu M_c}{\mathcal{N}^3}\right)^2 \frac{4\pi \mathcal{N}^2}{\mu^2 M_c} \frac{\mathcal{N}^3}{4\pi \mathcal{N}^2} \mu \sim \frac{\mu M_c}{\mathcal{N}^3}$$

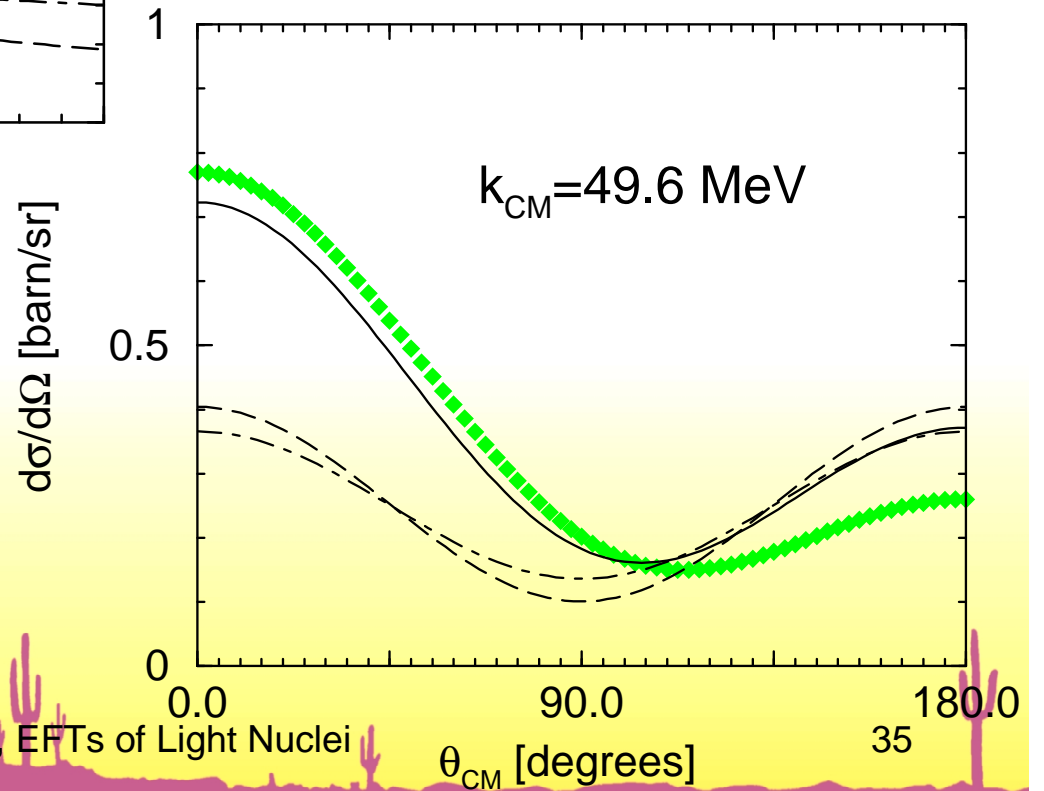
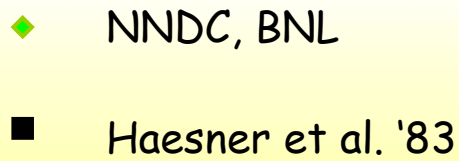
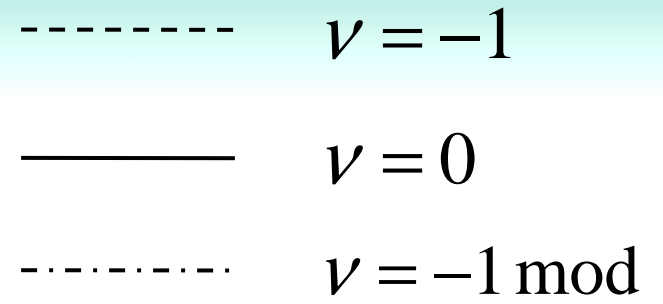
$\Rightarrow$  enhanced by  $\frac{M_c}{\mathcal{N}}$

$\Rightarrow$  resum self-energy

$$T \propto \frac{i \Gamma(E)}{E - E_0 + \frac{i}{2} \Gamma(E)}$$

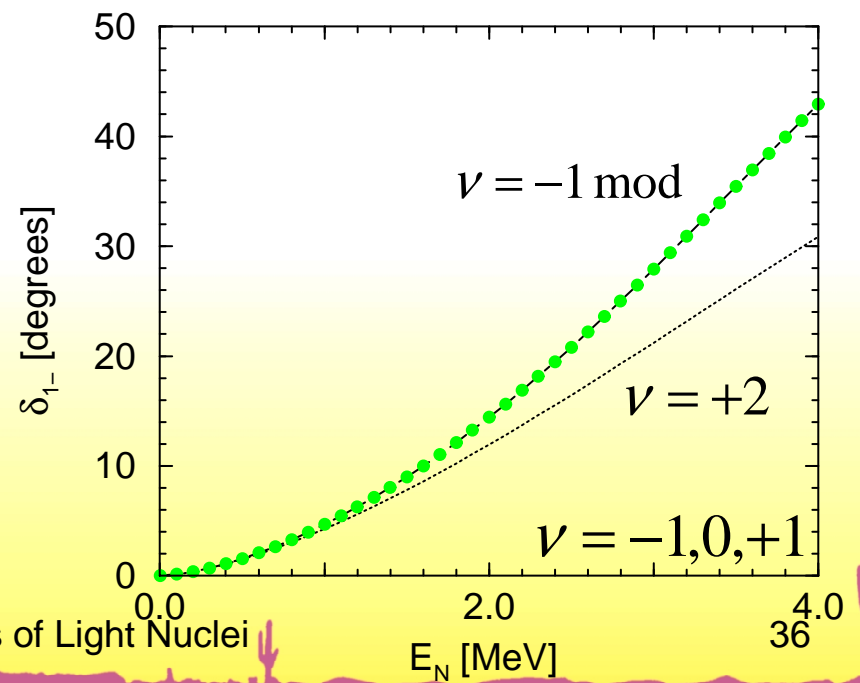
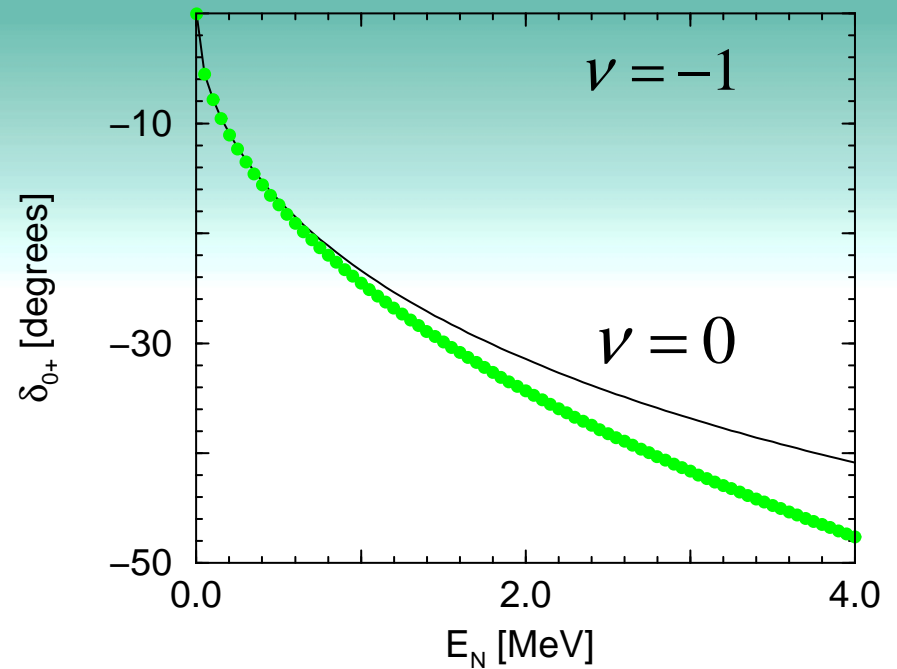
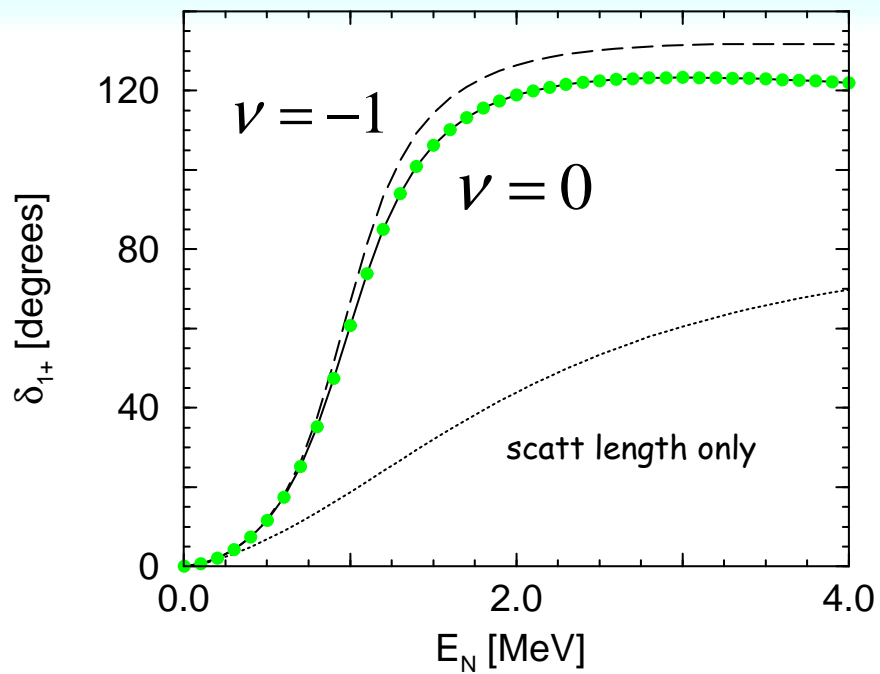


Bertulani, Hammer + v.K. '02



Bertulani, Hammer + v.K. '02

● PSA, Arndt et al. '73



$$E_0 \cong 0.80 \text{ MeV}$$

$$\Gamma(E_0) \cong 0.55 \text{ MeV}$$

8/2/2004

U. van Kolck, EFTs of Light Nuclei

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# Outlook

- three-body bound states:

e.g.  ${}^6\text{He} = \text{b.s.} \left( {}^4\text{He} + n + n \right)$  Hammer + v.K., in progress

[ c.f.  ${}^3\text{H} = \text{b.s.} \left( p + n + n \right)$  Bedaque, Hammer + v.K. '99 ]

- reactions:

e.g.  $p + {}^7\text{Be} \rightarrow {}^8\text{B} + \gamma$

[ c.f.  $p + n \rightarrow d + \gamma$  Chen et al. '00 ]

➤ new, systematic approach to physics near *drip* lines